IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joel Kauffman et al.

Serial No.: 10/565,414

Filed: January 23, 2006

For: COMPOUNDS THAT ABSORB

ULTRAVIOLET LIGHT, METHODS OF THEIR PREPARATION AND OPTICAL

LENSES CONTAINING THEM

Group Art Unit: 1616

Examiner: Unknown

Atty. Dkt. No.: ESSR:103US

Confirmation No.: 6229

CERTIFICATE OF ELECTRONIC SUBMISSION

DATE OF SUBMISSION: January 22, 2007

INFORMATION DISCLOSURE STATEMENT

MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. § 1.16

to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is

authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-

1212/ESSR:103US.

Applicants respectfully request that the listed documents be made of record in the present

case.

Respectfully submitted,

Mark B. Wilson

Reg. No. 37,259

Attorney for Applicants

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(512) 474-5201

Date:

January 22, 2007

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Form PTO-1449 (modified)				Atty. Docket No.	Serial No.			
				ESSR:103US 10/565,414				
List of Patents and Publications for Applicant's				Applicant				
				Joel Kauffman <i>et al.</i>				
Inf	ORMATION	DISCLOSURE STA	ATEMENT					
				1		Group:		
(Use several sheets if necessary)				January 23, 2006	1616			
				atent Documents	Other Art			
	See Pa	ge 1	Se	See Page 1		See Page 1		
			U.S. Pate	nt Documents	, ,			
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.	
	Al	5,298,189	03/29/94	Kauffman	252	301.17	04/24/92	
	A2	5,587,112	12/24/96	Kauffman et al.	252	589	09/02/94	
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Exam. Init.	Ref. Des.	Document Number	Date	Country	Language			
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Exam.	Ref.	Citation						
lnit.	Des.							

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DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Preston et al., "Heterocyclic intermediates for the preparation of thermally stable polymers. II. Benzoxazoles and Benzothiazoles," Journal of Heterocyclic Chemistry, 5:269-273, 1968.

Reiser et al., "Fluorescence of aromatic benzoxazole derivatives," Journal of the American

Chemical Society, 94:2414-2421, 1972.